# **UK Stewardship Voting Record**



#### TARGET HEALTHCARE REIT PLC

| Meeting:         | Annual   | 02/12/2020  | <b>United Kingdom</b> |         |
|------------------|----------|---|-----------------------|---------|
| Proposal<br>Type | Proposal | Description   | MRec                  | Vote    |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports   | For                   | For     |
| Mgmt             | 2        | Approve Remuneration Report   | For                   | For     |
| Mgmt             | 3        | Approve the Company's Dividend Policy   | For                   | For     |
| Mgmt             | 4        | Reappoint Ernst & Young LLP as Auditors   | For                   | For     |
| Mgmt             | 5        | Authorise Board to Fix Remuneration of Auditors   | For                   | For     |
| Mgmt             | 6        | Elect Alison Fyfe as Director   | For                   | For     |
| Mgmt             | 7        | Re-elect Malcolm Naish as Director<br>Voter Rationale: We decided to vote against following<br>considerations around the length of notice for the investment<br>management agreement. | For                   | Against |
| Mgmt             | 8        | Re-elect June Andrews as Director   | For                   | For     |
| Mgmt             | 9        | Re-elect Gordon Coull as Director   | For                   | For     |
| Mgmt             | 10       | Re-elect Tom Hutchison III as Director  | For                   | For     |
| Mgmt             | 11       | Authorise Issue of Equity   | For                   | For     |
| Mgmt             | 12       | Authorise Issue of Equity without Pre-emptive Rights  | For                   | For     |
| Mgmt             | 13       | Authorise Market Purchase of Ordinary Shares  | For                   | For     |
| Mgmt             | 14       | Authorise the Company to Call General Meeting with Two Weeks' Notice  | For                   | For     |
| Mgmt             | 15       | Adopt New Articles of Association   | For                   | For     |

### ATLASSIAN CORP. PLC

| Meeting:         | Annual   | 03/12/2020  | <b>United Kingdom</b> |      |
|------------------|----------|---|-----------------------|------|
| Proposal<br>Type | Proposal | Description   | MRec                  | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports         | For                   | For  |
| Mgmt             | 2        | Approve Remuneration Report                               | For                   | For  |
| Mgmt             | 3        | Appoint Ernst & Young LLP as Auditors                     | For                   | For  |
| Mgmt             | 4        | Authorize Board to Fix Remuneration of Auditors           | For                   | For  |
| Mgmt             | 5        | Elect Director Shona L. Brown                             | For                   | For  |
| Mgmt             | 6        | Elect Director Michael Cannon-Brookes                     | For                   | For  |
| Mgmt             | 7        | Elect Director Scott Farquhar                             | For                   | For  |
| Mgmt             | 8        | Elect Director Heather Mirjahangir Fernandez              | For                   | For  |
| Mgmt             | 9        | Elect Director Sasan Goodarzi                             | For                   | For  |
| Mgmt             | 10       | Elect Director Jay Parikh                                 | For                   | For  |
| Mgmt             | 11       | Elect Director Enrique Salem                              | For                   | For  |
| Mgmt             | 12       | Elect Director Steven Sordello                            | For                   | For  |
| Mgmt             | 13       | Elect Director Richard P. Wong                            | For                   | For  |
| Mgmt             | 14       | Authorize to Make Off-Market Purchases of Ordinary Shares | For                   | For  |
| Mgmt             | 15       | Authorize Share Repurchase Program                        | For                   | For  |

### **BAILLIE GIFFORD JAPAN TRUST PLC**

| Meeting:         | Annual   | 03/12/2020   | United Kin | United Kingdom |  |
|------------------|----------|--|------------|----------------|--|
| Proposal<br>Type | Proposal | Description  | MRec       | Vote           |  |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports    | For        | For            |  |
| Mgmt             | 2        | Approve Remuneration Policy                          | For        | For            |  |
| Mgmt             | 3        | Approve Remuneration Report                          | For        | For            |  |
| Mgmt             | 4        | Approve Final Dividend                               | For        | For            |  |
| Mgmt             | 5        | Re-elect Keith Falconer as Director                  | For        | For            |  |
| Mgmt             | 6        | Re-elect Sharon Brown as Director                    | For        | For            |  |
| Mgmt             | 7        | Re-elect David Kidd as Director                      | For        | For            |  |
| Mgmt             | 8        | Re-elect Martin Paling as Director                   | For        | For            |  |
| Mgmt             | 9        | Re-elect Joanna Pitman as Director                   | For        | For            |  |
| Mgmt             | 10       | Reappoint KPMG LLP as Auditors                       | For        | For            |  |
| Mgmt             | 11       | Authorise Board to Fix Remuneration of Auditors      | For        | For            |  |
| Mgmt             | 12       | Approve Continuation of Company as Investment Trust  | For        | For            |  |
| Mgmt             | 13       | Authorise Issue of Equity                            | For        | For            |  |
| Mgmt             | 14       | Authorise Issue of Equity without Pre-emptive Rights | For        | For            |  |
| Mgmt             | 15       | Authorise Market Purchase of Ordinary Shares         | For        | For            |  |

### SANTANDER UK GROUP HOLDINGS PLC

| Meeting:      | Bondhold | 03/12/2020  | United Ki | ngdom   |
|---------------|----------|---|-----------|---------|
| Proposal Type | Proposal | Description   | MRec      | Vote    |
| Mgmt          | 1        | Approve Extraordinary Resolution as per Meeting Notice Voter Rationale: We decided to vote against at this bondholder meeting following considerations that the change in terms may not be favourable to bondholders. | For       | Against |

#### **WAREHOUSE REIT PLC**

| Meeting:         | Special  | 04/12/2020   | United Kin | United Kingdom |  |
|------------------|----------|--|------------|----------------|--|
| Proposal<br>Type | Proposal | Description  | MRec       | Vote           |  |
| Mgmt             | 1        | Approve Acquisition of the Entire Issued Share Capital of Greenstone Property Holdings Limited | For        | For            |  |

### **GORE STREET ENERGY STORAGE FUND PLC**

| Meeting:         | Special  | 07/12/2020  | United Kingdom |      |
|------------------|----------|---|----------------|------|
| Proposal<br>Type | Proposal | Description   | MRec           | Vote |
| Mgmt             | 1        | Authorise Issue of Shares in Connection with the Initial Issue                            | For            | For  |
| Mgmt             | 2        | Authorise Issue of Shares Pursuant to the Subsequent Issues                               | For            | For  |
| Mgmt             | 3        | Authorise Issue of Shares without Pre-emptive Rights in Connection with the Initial Issue | For            | For  |
| Mgmt             | 4        | Authorise Issue of Shares without Pre-emptive Rights Pursuant to the Subsequent Issues    | For            | For  |

#### **LLOYDS BANKING GROUP PLC**

| Meeting:         | Bondhold | Bondhold 07/12/2020   |      | ngdom   |
|------------------|----------|---|------|---------|
| Proposal<br>Type | Proposal | Description   | MRec | Vote    |
| Mgmt             | 1        | Approve Extraordinary Resolution as per Meeting Notice Voter Rationale: We voted against the proposals which were not considered in the interests of bondholders. | For  | Against |

### **FIDELITY ASIAN VALUES PLC**

| Meeting:         | Annual   | 08/12/2020   | United Kin | United Kingdom |  |
|------------------|----------|--|------------|----------------|--|
| Proposal<br>Type | Proposal | Description  | MRec       | Vote           |  |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports    | For        | For            |  |
| Mgmt             | 2        | Approve Final Dividend                               | For        | For            |  |
| Mgmt             | 3        | Re-elect Kate Bolsover as Director                   | For        | For            |  |
| Mgmt             | 4        | Re-elect Clare Brady as Director                     | For        | For            |  |
| Mgmt             | 5        | Re-elect Timothy Scholefield as Director             | For        | For            |  |
| Mgmt             | 6        | Re-elect Grahame Stott as Director                   | For        | For            |  |
| Mgmt             | 7        | Re-elect Michael Warren as Director                  | For        | For            |  |
| Mgmt             | 8        | Approve Remuneration Report                          | For        | For            |  |
| Mgmt             | 9        | Approve Remuneration Policy                          | For        | For            |  |
| Mgmt             | 10       | Reappoint Ernst & Young LLP as Auditors              | For        | For            |  |
| Mgmt             | 11       | Authorise Board to Fix Remuneration of Auditors      | For        | For            |  |
| Mgmt             | 12       | Authorise Issue of Equity                            | For        | For            |  |
| Mgmt             | 13       | Authorise Issue of Equity without Pre-emptive Rights | For        | For            |  |
| Mgmt             | 14       | Authorise Market Purchase of Ordinary Shares         | For        | For            |  |

### THE SCOTTISH ORIENTAL SMALLER COS. TRUST PLC

| Meeting:         | Annual   | 08/12/2020   | <b>United Kingdom</b> |      |
|------------------|----------|--|-----------------------|------|
| Proposal<br>Type | Proposal | Description  | MRec                  | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports                            | For                   | For  |
| Mgmt             | 2        | Approve Final Dividend   | For                   | For  |
| Mgmt             | 3        | Re-elect James Ferguson as Director  | For                   | For  |
| Mgmt             | 4        | Elect Michelle Paisley as Director   | For                   | For  |
| Mgmt             | 5        | Re-elect Anne West as Director   | For                   | For  |
| Mgmt             | 6        | Re-elect Jeremy Whitley as Director  | For                   | For  |
| Mgmt             | 7        | Re-elect Andrew Baird as Director  | For                   | For  |
| Mgmt             | 8        | Appoint Johnston Carmichael LLP as Auditors and Authorise Their Remuneration | For                   | For  |
| Mgmt             | 9        | Approve Remuneration Policy  | For                   | For  |
| Mgmt             | 10       | Approve Remuneration Report  | For                   | For  |
| Mgmt             | 11       | Adopt the Investment Policy  | For                   | For  |
| Mgmt             | 12       | Authorise Issue of Equity  | For                   | For  |
| Mgmt             | 13       | Authorise Issue of Equity without Pre-emptive Rights                         | For                   | For  |
| Mgmt             | 14       | Authorise Market Purchase of Ordinary Shares                                 | For                   | For  |
| Mgmt             | 15       | Authorise the Company to Call General Meeting with Two Weeks' Notice         | For                   | For  |
| Mgmt             | 16       | Adopt New Articles of Association  | For                   | For  |

### ASHOKA INDIA EQUITY INVESTMENT TRUST PLC

| Meeting:         | Annual   | 09/12/2020   | United Kingdom |      |
|------------------|----------|--|----------------|------|
| Proposal<br>Type | Proposal | Description  | MRec           | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports  | For            | For  |
| Mgmt             | 2        | Approve Remuneration Report  | For            | For  |
| Mgmt             | 3        | Re-elect Andrew Watkins as Director  | For            | For  |
| Mgmt             | 4        | Re-elect Dr Jerome Booth as Director   | For            | For  |
| Mgmt             | 5        | Re-elect Rita Dhut as Director   | For            | For  |
| Mgmt             | 6        | Re-elect Jamie Skinner as Director   | For            | For  |
| Mgmt             | 7        | Reappoint Ernst & Young LLP as Auditors  | For            | For  |
| Mgmt             | 8        | Authorise Board to Fix Remuneration of Auditors  | For            | For  |
| Mgmt             | 9        | Authorise Issue of Equity  | For            | For  |
| Mgmt             | 10       | Authorise Issue of Equity in Connection with Any Performance<br>Fees Payable to the Investment Manager                         | For            | For  |
| Mgmt             | 11       | Authorise Issue of Equity without Pre-emptive Rights   | For            | For  |
| Mgmt             | 12       | Authorise Issue of Equity without Pre-emptive Rights in Connection with Any Performance Fees Payable to the Investment Manager | For            | For  |
| Mgmt             | 13       | Authorise Market Purchase of Ordinary Shares   | For            | For  |
| Mgmt             | 14       | Authorise the Company to Call General Meeting with Two Weeks' Notice   | For            | For  |

## **CERES POWER HOLDINGS PLC**

| Meeting:         | Annual   | 10/12/2020   | United Kingdom |         |
|------------------|----------|--|----------------|---------|
| Proposal<br>Type | Proposal | Description  | MRec           | Vote    |
| Mgmt             | 1        | Reappoint BDO LLP as Auditors and Authorise Their Remuneration  Voter Rationale: We decided to vote against on a precautionary basis as the disclosures regarding the resolution were insufficient to enable us to make an informed voting decision. | For            | Against |
| Mgmt             | 2        | Elect Warren Finegold as Director  | For            | For     |
| Mgmt             | 3        | Elect Uwe Glock as Director  | For            | For     |
| Mgmt             | 4        | Elect Qinggui Hao as Director  | For            | For     |
| Mgmt             | 5        | Re-elect Stephen Callaghan as Director   | For            | For     |
| Mgmt             | 6        | Re-elect Caroline Hargrove as Director   | For            | For     |
| Mgmt             | 7        | Re-elect Richard Preston as Director   | For            | For     |
| Mgmt             | 8        | Authorise Issue of Equity  | For            | For     |
| Mgmt             | 9        | Authorise Issue of Equity without Pre-emptive Rights   | For            | For     |
| Mgmt             | 10       | Adopt New Articles of Association  | For            | For     |

### THE PRS REIT PLC

| Meeting:         | Annual   | 10/12/2020   | United Kingdom |         |
|------------------|----------|--|----------------|---------|
| Proposal<br>Type | Proposal | Description  | MRec           | Vote    |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports  | For            | For     |
| Mgmt             | 2        | Approve Remuneration Report  | For            | For     |
| Mgmt             | 3        | Approve Remuneration Policy Voter Rationale: We decided to vote against following considerations that the policy allows additional payments to NEDs. | For            | Against |
| Mgmt             | 4        | Re-elect Stephen Smith as Director   | For            | For     |
| Mgmt             | 5        | Re-elect Steffan Francis as Director   | For            | For     |
| Mgmt             | 6        | Re-elect Roderick MacRae as Director   | For            | For     |
| Mgmt             | 7        | Re-elect Jim Prower as Director  | For            | For     |
| Mgmt             | 8        | Reappoint RSM UK Audit LLP as Auditors   | For            | For     |
| Mgmt             | 9        | Authorise the Audit Committee to Fix Remuneration of Auditors  | For            | For     |
| Mgmt             | 10       | Authorise Issue of Equity  | For            | For     |
| Mgmt             | 11       | Authorise Issue of Equity without Pre-emptive Rights   | For            | For     |
| Mgmt             | 12       | Authorise Issue of Equity without Pre-emptive Rights (Additional Authority)  | For            | For     |
| Mgmt             | 13       | Authorise Market Purchase of Ordinary Shares   | For            | For     |
|                  |          |  |                |         |

### **BELLWAY PLC**

| Meeting:         | Annual   | 11/12/2020   | United Kingdom |      |
|------------------|----------|--|----------------|------|
| Proposal<br>Type | Proposal | Description  | MRec           | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports  | For            | For  |
| Mgmt             | 2        | Approve Remuneration Report  | For            | For  |
| Mgmt             | 3        | Approve Remuneration Policy  | For            | For  |
| Mgmt             | 4        | Approve Final Dividend   | For            | For  |
| Mgmt             | 5        | Re-elect Paul Hampden Smith as Director  | For            | For  |
| Mgmt             | 6        | Re-elect Jason Honeyman as Director  | For            | For  |
| Mgmt             | 7        | Re-elect Keith Adey as Director  | For            | For  |
| Mgmt             | 8        | Re-elect Denise Jagger as Director   | For            | For  |
| Mgmt             | 9        | Re-elect Jill Caseberry as Director  | For            | For  |
| Mgmt             | 10       | Re-elect Ian McHoul as Director  | For            | For  |
| Mgmt             | 11       | Appoint Ernst & Young LLP as Auditors  | For            | For  |
| Mgmt             | 12       | Authorise the Audit Committee to Fix Remuneration of Auditors  | For            | For  |
| Mgmt             | 13       | Authorise Issue of Equity  | For            | For  |
| Mgmt             | 14       | Authorise Issue of Equity without Pre-emptive Rights   | For            | For  |
| Mgmt             | 15       | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | For            | For  |
| Mgmt             | 16       | Authorise Market Purchase of Ordinary Shares   | For            | For  |
| Mgmt             | 17       | Authorise the Company to Call General Meeting with Two Weeks' Notice   | For            | For  |
| Mgmt             | 18       | Adopt New Articles of Association  | For            | For  |
|                  |          |  |                |      |

### **VOLUTION GROUP PLC**

| Meeting:         | Annual   | 11/12/2020   | <b>United Kingdom</b> |      |
|------------------|----------|--|-----------------------|------|
| Proposal<br>Type | Proposal | Description  | MRec                  | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports                    | For                   | For  |
| Mgmt             | 2        | Approve Remuneration Policy  | For                   | For  |
| Mgmt             | 3        | Approve Remuneration Report  | For                   | For  |
| Mgmt             | 4        | Re-elect Paul Hollingworth as Director                               | For                   | For  |
| Mgmt             | 5        | Re-elect Ronnie George as Director                                   | For                   | For  |
| Mgmt             | 6        | Re-elect Amanda Mellor as Director                                   | For                   | For  |
| Mgmt             | 7        | Re-elect Andy O'Brien as Director                                    | For                   | For  |
| Mgmt             | 8        | Re-elect Tony Reading as Director                                    | For                   | For  |
| Mgmt             | 9        | Re-elect Claire Tiney as Director                                    | For                   | For  |
| Mgmt             | 10       | Elect Nigel Lingwood as Director                                     | For                   | For  |
| Mgmt             | 11       | Reappoint Ernst & Young LLP as Auditors                              | For                   | For  |
| Mgmt             | 12       | Authorise the Audit Committee to Fix Remuneration of Auditors        | For                   | For  |
| Mgmt             | 13       | Authorise EU Political Donations and Expenditure                     | For                   | For  |
| Mgmt             | 14       | Authorise Issue of Equity  | For                   | For  |
| Mgmt             | 15       | Authorise Issue of Equity without Pre-emptive Rights                 | For                   | For  |
| Mgmt             | 16       | Authorise the Company to Call General Meeting with Two Weeks' Notice | For                   | For  |

### FIDELITY SPECIAL VALUES PLC

| Meeting:         | Annual   | 14/12/2020   | United Kin | United Kingdom |  |
|------------------|----------|--|------------|----------------|--|
| Proposal<br>Type | Proposal | Description  | MRec       | Vote           |  |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports    | For        | For            |  |
| Mgmt             | 2        | Approve Final Dividend                               | For        | For            |  |
| Mgmt             | 3        | Re-elect Andy Irvine as Director                     | For        | For            |  |
| Mgmt             | 4        | Re-elect Claire Boyle as Director                    | For        | For            |  |
| Mgmt             | 5        | Re-elect Dean Buckley as Director                    | For        | For            |  |
| Mgmt             | 6        | Re-elect Nigel Foster as Director                    | For        | For            |  |
| Mgmt             | 7        | Elect Alison McGregor as Director                    | For        | For            |  |
| Mgmt             | 8        | Approve Remuneration Report                          | For        | For            |  |
| Mgmt             | 9        | Approve Remuneration Policy                          | For        | For            |  |
| Mgmt             | 10       | Reappoint Ernst & Young LLP as Auditors              | For        | For            |  |
| Mgmt             | 11       | Authorise Board to Fix Remuneration of Auditors      | For        | For            |  |
| Mgmt             | 12       | Authorise Issue of Equity                            | For        | For            |  |
| Mgmt             | 13       | Authorise Issue of Equity without Pre-emptive Rights | For        | For            |  |
| Mgmt             | 14       | Authorise Market Purchase of Ordinary Shares         | For        | For            |  |

### **RIVER & MERCANTILE GROUP PLC**

| Meeting:         | Annual   | 14/12/2020   | United Kingdom |      |
|------------------|----------|--|----------------|------|
| Proposal<br>Type | Proposal | Description  | MRec           | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports  | For            | For  |
| Mgmt             | 2        | Approve Remuneration Report  | For            | For  |
| Mgmt             | 3        | Approve Remuneration Policy  | For            | For  |
| Mgmt             | 4        | Amend Deferred Equity Plan   | For            | For  |
| Mgmt             | 5        | Approve Value Transformation Plan  | For            | For  |
| Mgmt             | 6        | Approve Final Dividend   | For            | For  |
| Mgmt             | 7        | Re-elect Jonathan Dawson as Director   | For            | For  |
| Mgmt             | 8        | Re-elect James Barham as Director  | For            | For  |
| Mgmt             | 9        | Re-elect Angela Crawford-Ingle as Director   | For            | For  |
| Mgmt             | 10       | Re-elect John Misselbrook as Director  | For            | For  |
| Mgmt             | 11       | Re-elect Miriam Greenwood as Director  | For            | For  |
| Mgmt             | 12       | Elect Simon Wilson as Director   | For            | For  |
| Mgmt             | 13       | Reappoint BDO LLP as Auditors  | For            | For  |
| Mgmt             | 14       | Authorise Board to Fix Remuneration of Auditors  | For            | For  |
| Mgmt             | 15       | Authorise Issue of Equity  | For            | For  |
| Mgmt             | 16       | Authorise the Company to Incur Political Expenditure   | For            | For  |
| Mgmt             | 17       | Authorise Issue of Equity without Pre-emptive Rights   | For            | For  |
| Mgmt             | 18       | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | For            | For  |
| Mgmt             | 19       | Authorise Market Purchase of Ordinary Shares   | For            | For  |
| Mgmt             | 20       | Authorise the Company to Call General Meeting with Two Weeks' Notice   | For            | For  |
|                  |          |  |                |      |

### **BLANCCO TECHNOLOGY GROUP PLC**

| Meeting:         | Annual   | 15/12/2020  | United Kingdom |      |
|------------------|----------|---|----------------|------|
| Proposal<br>Type | Proposal | Description   | MRec           | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports                                 | For            | For  |
| Mgmt             | 2        | Approve Remuneration Report   | For            | For  |
| Mgmt             | 3        | Elect Catherine Michel as Director  | For            | For  |
| Mgmt             | 4        | Re-elect Philip Rogerson as Director  | For            | For  |
| Mgmt             | 5        | Reappoint PricewaterhouseCoopers LLP as Auditors and Authorise Their Remuneration | For            | For  |
| Mgmt             | 6        | Authorise Issue of Equity   | For            | For  |
| Mgmt             | 7        | Authorise Issue of Equity without Pre-emptive Rights                              | For            | For  |
| Mgmt             | 8        | Authorise Market Purchase of Ordinary Shares                                      | For            | For  |
| Mgmt             | 9        | Adopt New Articles of Association   | For            | For  |

### INTERNATIONAL BIOTECHNOLOGY TRUST PLC

| Meeting:         | Annual   | 15/12/2020  | United Kingdom |         |
|------------------|----------|---|----------------|---------|
| Proposal<br>Type | Proposal | Description   | MRec           | Vote    |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports   | For            | For     |
| Mgmt             | 2        | Approve Remuneration Policy Voter Rationale: We decided to vote against following considerations that the proposed policy allows additional payments to NEDs. | For            | Against |
| Mgmt             | 3        | Approve Remuneration Report   | For            | For     |
| Mgmt             | 4        | Approve Company's Dividend Policy   | For            | For     |
| Mgmt             | 5        | Re-elect Dr Veronique Bouchet as Director   | For            | For     |
| Mgmt             | 6        | Elect Kate Cornish-Bowden as Director   | For            | For     |
| Mgmt             | 7        | Re-elect Caroline Gulliver as Director  | For            | For     |
| Mgmt             | 8        | Re-elect Jim Horsburgh as Director  | For            | For     |
| Mgmt             | 9        | Elect Patrick Magee as Director   | For            | For     |
| Mgmt             | 10       | Reappoint PricewaterhouseCoopers LLP as Auditors  | For            | For     |
| Mgmt             | 11       | Authorise Board to Fix Remuneration of Auditors   | For            | For     |
| Mgmt             | 12       | Authorise Issue of Equity   | For            | For     |
| Mgmt             | 13       | Authorise Issue of Equity (Additional Authority)  | For            | For     |
| Mgmt             | 14       | Authorise Issue of Equity without Pre-emptive Rights  | For            | For     |
| Mgmt             | 15       | Authorise Issue of Equity without Pre-emptive Rights (Additional Authority)   | For            | For     |
| Mgmt             | 16       | Authorise Market Purchase of Ordinary Shares  | For            | For     |
| Mgmt             | 17       | Authorise the Company to Call General Meeting with Two Weeks' Notice  | For            | For     |
|                  |          |   |                |         |

### **SECURED INCOME FUND PLC**

| Meeting:         | Annual   | 16/12/2020   | United Kingdom |         |
|------------------|----------|--|----------------|---------|
| Proposal<br>Type | Proposal | Description  | MRec           | Vote    |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports  | For            | For     |
| Mgmt             | 2        | Approve Remuneration Policy Voter Rationale: We decided to vote against following considerations that the policy provides for additional payments to NEDs. | For            | Against |
| Mgmt             | 3        | Approve Remuneration Report  | For            | For     |
| Mgmt             | 4        | Reappoint RSM UK Audit LLP as Auditors   | For            | For     |
| Mgmt             | 5        | Authorise the Company's Audit and Valuation Committee to Fix Remuneration of Auditors  | For            | For     |
| Mgmt             | 6        | Re-elect Gaynor Coley as Director  | For            | For     |
| Mgmt             | 7        | Re-elect David Stevenson as Director   | For            | For     |
| Mgmt             | 8        | Elect Brett Miller as Director Voter Rationale: We decided to vote against following considerations around independence.                                   | For            | Against |
| Mgmt             | 9        | Authorise Market Purchase of Ordinary Shares   | For            | For     |

#### **ALTERNATIVE CREDIT INVESTMENTS PLC**

| Meeting:         | Court    | 17/12/2020                    | United King | gdom |
|------------------|----------|-------------------------------|-------------|------|
| Proposal<br>Type | Proposal | Description                   | MRec        | Vote |
| Mgmt             | 1        | Approve Scheme of Arrangement | For         | For  |

#### **ALTERNATIVE CREDIT INVESTMENTS PLC**

| Meeting:         | Special  | 17/12/2020  | United Kir | ngdom |
|------------------|----------|---|------------|-------|
| Proposal<br>Type | Proposal | Description   | MRec       | Vote  |
| Mgmt             | 1        | Approve Matters Relating to the Recommended Cash Offer for Alternative Credit Investments plc by Waterfall EIT UK Limited | For        | For   |
| Mgmt             | 2        | Approve Re-registration of the Company as a Private Limited Company by the Alternative Credit Investments Limited         | For        | For   |

### **DOTDIGITAL GROUP PLC**

| Meeting:         | Annual   | 21/12/2020   | United Kingdom |      |
|------------------|----------|--|----------------|------|
| Proposal<br>Type | Proposal | Description  | MRec           | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports  | For            | For  |
| Mgmt             | 2        | Approve Remuneration Report  | For            | For  |
| Mgmt             | 3        | Re-elect Paraag Amin as Director   | For            | For  |
| Mgmt             | 4        | Elect Michael O'Leary as Director  | For            | For  |
| Mgmt             | 5        | Elect Elizabeth Richards as Director   | For            | For  |
| Mgmt             | 6        | Reappoint Jeffreys Henry LLP as Auditors and Authorise Their Remuneration  | For            | For  |
| Mgmt             | 7        | Approve Final Dividend   | For            | For  |
| Mgmt             | 8        | Authorise Issue of Equity  | For            | For  |
| Mgmt             | 9        | Authorise Issue of Equity without Pre-emptive Rights   | For            | For  |
| Mgmt             | 10       | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | For            | For  |
| Mgmt             | 11       | Authorise Market Purchase of Ordinary Shares   | For            | For  |

### **AVATION PLC**

| Annual   | 23/12/2020   | United Kingdom   |   |
|----------|--|--|---|
| Proposal | Description  | MRec   | Vote  |
| 1        | Accept Financial Statements and Statutory Reports    | For  | For   |
| 2        | Approve Remuneration Report                          | For  | For   |
| 3        | Approve Remuneration Policy                          | For  | For   |
| 4        | Re-elect Robert Chatfield as Director                | For  | For   |
| 5        | Re-elect Derek Sharples as Director                  | For  | For   |
| 6        | Reappoint Ernst & Young as Auditors                  | For  | For   |
| 7        | Authorise Board to Fix Remuneration of Auditors      | For  | For   |
| 8        | Authorise Issue of Equity                            | For  | For   |
| 9        | Authorise Issue of Equity without Pre-emptive Rights | For  | For   |
| 10       | Authorise Market Purchase of Ordinary Shares         | For  | For   |
| 11       | Authorise Directors to Sell Treasury Shares for Cash | For  | For   |
|          | Proposal  1 2 3 4 5 6 7 8 9 10                       | Proposal Description  1 Accept Financial Statements and Statutory Reports 2 Approve Remuneration Report 3 Approve Remuneration Policy 4 Re-elect Robert Chatfield as Director 5 Re-elect Derek Sharples as Director 6 Reappoint Ernst & Young as Auditors 7 Authorise Board to Fix Remuneration of Auditors 8 Authorise Issue of Equity 9 Authorise Issue of Equity without Pre-emptive Rights 10 Authorise Market Purchase of Ordinary Shares | Proposal Description  Accept Financial Statements and Statutory Reports  Approve Remuneration Report  Approve Remuneration Policy  Re-elect Robert Chatfield as Director  Re-elect Derek Sharples as Director  Reappoint Ernst & Young as Auditors  Authorise Board to Fix Remuneration of Auditors  Authorise Issue of Equity  Authorise Issue of Equity without Pre-emptive Rights  For  Authorise Market Purchase of Ordinary Shares |

### **INFORMA PLC**

| Meeting:         | Special  | 23/12/2020                                   | United Kin | <b>United Kingdom</b> |  |
|------------------|----------|--|------------|-----------------------|--|
| Proposal<br>Type | Proposal | Description                                  | MRec       | Vote                  |  |
| Mgmt             | 1        | Approve Remuneration Policy                  | For        | For                   |  |
| Mgmt             | 2        | Adopt the Informa Equity Revitalisation Plan | For        | For                   |  |

#### **KIN + CARTA PLC**

| Meeting:         | Annual   | 23/12/2020   | <b>United Kingdom</b> |      |
|------------------|----------|--|-----------------------|------|
| Proposal<br>Type | Proposal | Description  | MRec                  | Vote |
| Mgmt             | 1        | Accept Financial Statements and Statutory Reports  | For                   | For  |
| Mgmt             | 2        | Approve Remuneration Report  | For                   | For  |
| Mgmt             | 3        | Approve Remuneration Policy  | For                   | For  |
| Mgmt             | 4        | Reappoint PricewaterhouseCoopers LLP as Auditors   | For                   | For  |
| Mgmt             | 5        | Authorise the Audit Committee to Fix Remuneration of Auditors  | For                   | For  |
| Mgmt             | 6        | Re-elect J Schwan as Director  | For                   | For  |
| Mgmt             | 7        | Re-elect Chris Kutsor as Director  | For                   | For  |
| Mgmt             | 8        | Re-elect David Bell as Director  | For                   | For  |
| Mgmt             | 9        | Re-elect John Kerr as Director   | For                   | For  |
| Mgmt             | 10       | Re-elect Michele Maher as Director   | For                   | For  |
| Mgmt             | 11       | Re-elect Nigel Pocklington as Director   | For                   | For  |
| Mgmt             | 12       | Re-elect Helen Stevenson as Director   | For                   | For  |
| Mgmt             | 13       | Approve Long Term Incentive Plan   | For                   | For  |
| Mgmt             | 14       | Authorise Issue of Equity  | For                   | For  |
| Mgmt             | 15       | Authorise Issue of Equity without Pre-emptive Rights   | For                   | For  |
| Mgmt             | 16       | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | For                   | For  |
| Mgmt             | 17       | Authorise Market Purchase of Ordinary Shares   | For                   | For  |
| Mgmt             | 18       | Authorise the Company to Call General Meeting with Two Weeks' Notice   | For                   | For  |
|                  |          |  |                       |      |

### **GRESHAM TECHNOLOGIES PLC**

| Meeting:         | Special  | 30/12/2020                     | United Kingdom |
|------------------|----------|--------------------------------|----------------|
| Proposal<br>Type | Proposal | Description                    | MRec Vote      |
| Mgmt             | 1        | Approve Performance Share Plan | For For        |
| Mgmt             | 2        | Approve Remuneration Policy    | For For        |

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